



# INSTITUTE OF FORENSIC ACCOUNTING & INVESTIGATIVE AUDIT<sup>®</sup>

PRESENTS

## ONE DAY SYMPOSIUM ON

### 'The Growing Menace;

### Financial Frauds & White-Collar Crimes ;

### The Best Way Forward' For :

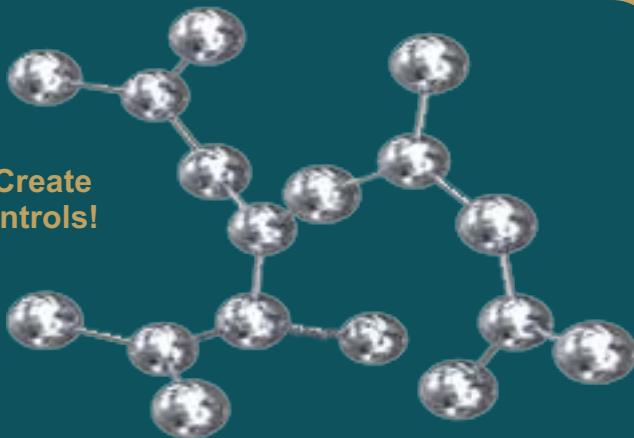
## MNC's / Large-Corporate/ Banks/ Govt. & Public Sector/ SME

With Focus on:

Forensic Audit, Data Mining & In-House Investigation, As a Mitigating Tool To Prevent & Detect Financial Frauds & White-Collar Crimes

Sensitively Create  
Sensible Controls!

[www.ifaia.org](http://www.ifaia.org)



### Schedule

Mumbai	17 <sup>th</sup> Dec 2012	Hilton (Andheri East)
Bangalore	18 <sup>th</sup> Dec 2012	Le Meridien (Opp. Golf Club)
Chennai	19 <sup>th</sup> Dec 2012	Radisson Blu (Near Airport)
New Delhi	21 <sup>st</sup> Dec 2012	The Surya (New Friends Colony)

### Please Ask Yourself Candidly!

- Is your corporate ever hit by frauds
- Is your corporate potentially vulnerable to frauds
- Is your computerisation properly structured
- Is your team equipped to capture & fight frauds
- Have you well placed your Anti-Fraud Controls
- Does your team understand how to handle frauds

Join the symposium to get perfect answers!

## REASONS TO ATTEND THIS POWERFUL SYMPOSIUM

Accounting fraud is one of the worst types of fraud in the business world. The increasing number of White-Collar Crimes has hit 80% of Indian companies in past about two years creating new trend in White-Collar Crimes, which has become a serious area of concern for all.

This Symposium will move beyond the theory of audit and compliance programmes to give you practical guidance on fraud prevention, based on real-life examples. Seasoned cross-industry expert, who himself has sniffed out 200 + frauds, investigated himself individually and as part of the Corporate Team and has prosecuted scores of them to bring them to logical conclusion, will share his knowledge, insights and experience with you, covering different approaches to fraud Prevention, Detection and Investigation.

This programme shall provide you the opportunity to learn from the best and is focused on practical learning through interaction, experience sharing and discussion and would answer all questions that you may have to develop fraud risk management strategy.

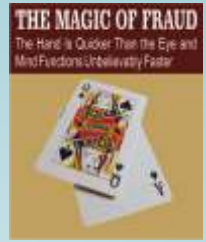
**Registration: From 0900 hrs onwards**

### **Module -1 (0930-1045)**

#### **Forensic Audit as a Tool to Prevent & Detect Financial Frauds & White-Collar Crimes; Ground Realities:**

(This session will take you through as how does Forensic Audit structure helps to Prevent Financial Frauds & White-Collar Crimes)

- ✓ Present Fraud Risk Scenario & How to Mitigate
- ✓ Forensic Audit; as a proactive tool to prevent & detect financial frauds
- ✓ Why and What Types of corporate frauds are growing?
- ✓ Often observed weak areas in accounting practices which promote frauds
- ✓ Computerisation / ERP as an aid to fraud & how Forensic Audit helps in understanding these.



### **Module -II (1100-1300)**

#### **Data Mining as a Tool to Detect Financial Frauds & White-Collar Crimes; Case Studies:**

(This session will take you through the art of data mining and how does it help to detect frauds). Session will provide you learning on:

- ✓ How to structure Data Mining
- ✓ Data mining a tool in ERP/ Computerised environments. (Case Studies)
- ✓ Art of data mining; a tool as detective control to look for missing links (Case Studies )
- ✓ Audit trail as early warnings



**Lunch : 1300-1345**

### **Module -III (1345-1530hrs)**

#### **Corporate In-House Handling of Fraud Suspicion and How Best to Undertake In-house Fraud Examination & Investigation Design**

(This Session will take you through the process of an internal fraud investigation based upon real case examples with focus on how to move forward when the management has suspicion and preliminaries which indicate that wrongs have been done, in order to reach to logical conclusion). Session will provide you learning on:

- ✓ Importance of In-House Fraud Investigation Modus-Operandi
- ✓ Scientific ways of in-house fraud examination procedure
- ✓ Ways of interrogation and how best to start
- ✓ Importance of interrogation and when to start
- ✓ How to move forward to bring the fraud to logical conclusion

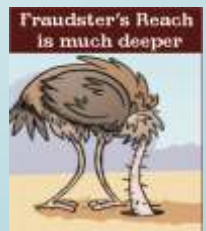


### **Module -IV (1545-1700)**

#### **How to Design Meaningful Controls and Fraud Risk Management Strategy**

(This session will take you through the types of control, how to place them on record and its operating modalities). Session will provide you learning on:

- ✓ How to evaluate and structure meaningful controls to prevent and detect any wrong.
- ✓ Types of Control; Preventive Controls, Detective Controls & Corrective controls and how do they function.
- ✓ Fraud prevention is loss prevention, Key elements of a fraud risk management framework
- ✓ How to structure and introduce Fraud Risk Management strategy
- ✓ Red flags and art of spinning as early warnings



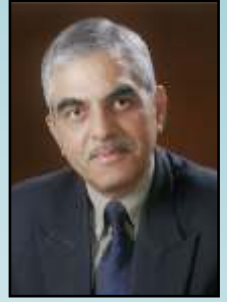
### **Module -IV (1700-1730)**

**New Growing Trend in White-Collar Crime and Answering any question related to fraud risk scenario.**

## ABOUT THE SPEAKER

### Mr. Vinod Khurana :

Vinod has been in the field of Finance and Investigative Audit for the last 38 years. Vinod started his own practice in Forensic Accounting and Investigative Audit in the late Nineties. During his widely exposed professional career in Govt., Public and Corporate Sector, largely Vinod has been investigating and educating on fighting White-Collar Crimes, by practicing a mix of Accounting, Law, Computerization, Intellectual Property, Ethics and Criminology coupled with his professional qualification being a B.Com, PGDFA, MBA, AICWA, CFA, LL.B, among other specialized qualifications in Investigation and on ERP Applications. Vinod has been visiting various establishments to undertake 'System Evaluations' and 'Forensic Audit' and has undertaken more than 250 such audits. During the process of System Evaluation and Forensic Audit he himself has sniffed more than 200 Frauds and White-Collar Crimes, some of them of very large value. He is often engaged by Corporate and Banks for various fraud investigations, training through sharing experience and for legal support.



Vinod is the President of the Institute of Forensic Accounting and Investigative Audit. He is also the Executive Director of the Institute of Intellectual Property Research and Development, wherein his personal focus is on Due-Diligence, Valuation and Theft of Intellectual Property. Vinod is also a Senior Partner in 500 legal recommended Law Firm, Khurana & Khurana Advocates & IP Attorneys and Litigates Fraud/ Infringement related matters and is a member of Bar Council.

Vinod has been speaking on Prevention, Detection and Investigation of Financial Frauds, White-Collar Crime and theft of Intellectual Property at various National & International forums in India and Abroad. Vinod in his capacity as President of the Institute of Forensic Accounting & Investigative Audit has also held more than 100 National and International symposiums in different countries, besides numerous in-house educational training programmes globally for well established Multi National Corporation such as, State Bank of India, Indian Oil Corporation, ITC Ltd, Bharti Tele-Venture Ltd, Tata Chemicals, Shri Cement, TVS Group, Toshiba Group of Japan, KPMG, NTPC, Action Aid International among many others. Vinod is also associated with many Corporate as Advisor on developing and monitoring Fraud Risk Management Strategy.

## THE SYMPOSIUM IS MUST FOR

Promoters, Directors, Chief Executives, Members Audit Committee, Vigilance Officers, Project Managers, Senior Executives- in the field of Accounts & Finance, Internal Controls, Risk Managers, Bankers, Practicing Chartered Accountants, Qualified Accountants, Legal Professionals dealing with financial crime and those who wish to pursue Forensic Accounting and Investigative Audit as a Profession.

DO NOT ACCEPT



&

**WHITE-COLLAR CRIMES**

TO BE A PART OF THE COST OF YOUR BUSINESS

BE BETTER PREPARED

AND

LEARN TO MANAGE THE RISK



## REGISTRATION

**Delegation Fees:** Rs. 14607/- (Rs. 13000/- + Rs. 1607/- Service Tax) Per Indian Delegate  
\$ US 300/- Per Foreign Delegate

Service Tax No: AABFI6363FST001

**Please forward the following registration details on plain paper:-**

Name, Organization, Designation, Address and Contact Details along with the payment details and cheque drawn in favour of "Institute of Forensic Accounting & Investigative Audit" and mail it to the Institute at :

CA. Varun Khurana

Secretary General

Institute of Forensic Accounting and Investigative Audit,

IFAIA Centre, S/19-22, G.N.S. Plaza, Plot No. S-7/2

UPSIDC Site-IV, Kasna Road, Greater Noida-201308, UP, India

Or

Transfer Funds at our Bank details are as Follow:

**Bank Name:** UCO Bank

**Bank Account Number:** 19620210000120

**Bank Branch Name:** Greater Noida

**Bank Address:** GN Shopping Plaza, Plot No.S-7/1, Site -IV, Kasna Road, Greater Noida

**MICR Code:** 110028055

**IFSC Code (Local Vendor):** UCBA0001962

**Name of the Account Holder:** Institute of Forensic Accounting & Investigative Audit

**FOR ANY QUERY CONTACT:**

Ms. P. Suman Mob: +91- 0-9650843536, Direct- 0120- 2399026

email:- ifaia@ifaia.org or suman@ifaia.org,

Ph: +91-120-2342010, Fax: +91-120-2342011

## ABOUT THE INSTITUTE

IFAIA is a unique Indian venture to meet the global challenges of growing menace of frauds and white-collar crimes in business establishments. IFAIA was setup in the late Nineties by those, who have been in the field of Forensic Accounting and Investigative Audit for many decades and have themselves sniffed out, investigated and prosecuted scores of frauds and white-collar crimes. IFAIA is promoted with the objective to educate business establishments, create and promote in-house team across industries, so as to actively combat the growing menace head-on. IFAIA also provides education on Ethics & Moralities which needs to be closely integrated in corporate discipline, besides educating on intricacies and practical aspects of Forensic Accounting, Investigative Audit, Promoting Investigative Skills and imparting education on new emerging issues on aligning new business methods and technology with existing process, with primary objective to put in place the sensible controls and practices to prevent any wrong.

In nut shell IFAIA provides complete Anti Fraud Education in India and Abroad through symposiums and workshops for the establishments at large and in-house educational training programmes globally for large multinational corporate to promote Fraud Risk Management Strategy for Prevention, Detection, Investigation & Prosecution of frauds and white-collar crimes, as a single point destination.

## IFAIA CONSULTINGS

IFAIA Consultings is a division of IFAIA. IFAIA Consultings is an effort to expand our valued services in the field of fraud prevention, detection and investigation much beyond Anti Fraud Education, encompassing whole range of Anti Fraud Services for our clients and be a single window service provider, with practical solution and End to End strategy for combating frauds. In this direction IFAIA Consultings focuses on System Evaluation, ERP setup and Application Analysis, Fraud Investigation, Forensic Accounting Support and Investigative Audit, Formulation of Fraud Risk Management Strategy, Data Protection, Intellectual Property Protection, IPR Audit, Litigation support and Expert Witness Testimony.

IFAIA Consultings is a venture of professionals who have been in the field of Fraud Risk Management with strong Accounts, Finance, Legal, Computer Forensic, Investigation and Prosecution backgrounds coupled with rich experience and expertise on sniffing out and investigating scores of frauds, spreading over decades across industry and have the ability to link data, knowledge and insight together to prevent any potential wrong doings.

In nutshell IFAIA Consultings is integrated solution provider for Fraud Risk Management Strategy for prevention, detection and investigation for frauds and white-collar crimes at all corporate as a single point destination.

## OUR CORE SERVICES

### FRAUD INVESTIGATION

The fertility of man's invention in devising new scheme of fraud is never ending. At the same time sniffing out frauds is an art, which besides the professional knowledge needs a mind-set that understands the intricacies and the language of the fraudster, for which statutory and internal audit are not adequate.

If you feel that your company needs some issues to be investigated or you have any suspicion and would need independent opinion contact us.

### LITIGATION AND EXPERT WITNESS SUPPORT

We provide a strong litigation support broadly to bring the fraud to logical conclusion. We help in investigation, interrogation, evidence evaluation documentation, prosecution and have a extensive support of its in-house Law Firm Khurana & Khurana Advocates & IP Attorneys. Many of the Investigators practice Law, which helps them an easy prosecution and to bring corporate frauds to logical end from on-the-scene and pre-trial investigation to concluding analysis and expert testimony.

If you feel the need of any assistance from litigation expert, call us or write to us.

### SYSTEM EVALUATION & ERP APPLICATION ANALYSIS

New business methods and the speed, which are making business dynamic, are also making them vulnerable to financial fraud. At the same time technology dependency through ERP application generates unrealistic expectations and often has inbuilt limitations, which are not exposed at the time of implementation. During our Investigative Audit and System Analysis we have sniffed out Scores of Frauds & White-Collar Crimes of high value, all these crimes had different modus operandi.

If you're desirous of independently evaluating your systems and ERP application analysis to find the loose and missing links in the system, in order to place the right and meaningful controls to fraud proof the business , please call us.

### INTELLECTUAL PROPERTY PROTECTION

The importance of Intellectual Property and the laws regulating it have undergone sweeping change in the last few years. Considering the value and power of intellectual property, it is not surprising that infringement lawsuits are proliferating.

If you feel the need of any assistance for protection of your IP, call us up or Write to us we shall help you in developing best-fit protection strategy for global protection.

### INTERNAL AUDIT SUPPORT

The business world over drives to do more with less and this has converged to place Internal Audit to fore front in the business management. The importance of internal audit has also been intensified, as the pace of fundamental change is strongly influenced by the change in information technology compliance, in the functioning process, and aligning the ever changing information technology plans with existing business process which needs effective monitoring to prevent any adverse consequences in time to come. These changes have broken the traditional well-defined role of internal audit revolving around control and compliance, therefore there is a need to make internal audit beyond the traditional role.

If you feel , you need to outsource the internal audit which besides being cost effective can provide the real insight, please call us or write to us.

## IFAIA HAS SENSITISED MORE THAN 600 CORPORATES WHAT HAVE THEY TO SAY ABOUT OUR PROGRAMMES?

- "Good Faculty with very practical approach & reading material is very informative".  
Abhijit Gajendragadkar , Chief Internal Auditor, Tata Motors
- "It was indeed a good session as I came back completely satisfied that the objective which I had in mind was met with."  
J . Nilakantan, Director Internal Audit, Cummins India Ltd.
- "Surely the Symposium has given a new wake up call in the new environment"  
G. Natesan, Director (Finance), National Fertilizer Limited.
- Symposium is an Eye Opener' Mr. S Venkatesan, AGM, City Union Bank
- Symposium is very effective, covered excellent topics in a detailed and lucid manner.  
Mr. Rajneesh Menon, The World Bank, Accounting Specialist
- Symposium is very effective. It evoked my interest to know more in the area.  
Mrs. Kavitha Kesture, IA&AS, BEML Limited, Chief Vigilance Officer (CVO)
- 'Symposium gave a great exposure on various types of frauds, topics were very interesting'.  
Mr. C .Nedunchezian, Sr. Deputy Accountant General, CAG of India
- Symposium is good, we had through analysis of risk & ways of mitigating, ERP update is value addition  
Mr. Pramodh Gadiya, Director, Spell Bound Audits Private Limited
- Symposium is very effective.  
Mr. S.K.Sinha, Director, National Power Training Institute (NPTI),
- "Very effective symposium; more then expected"  
Capt M.C.Patnaik, President , J.M.Baxi & Co.
- "Excellent Symposium" C. R, Seetharaman S-Manager, Oriental Bank of Commerce
- "Effective & Excellent Symposium" Gen. Manger ( Int, Audit) BEML Ltd.
- "Very good Symposium"  
RR Girish Kumar (IPS Retd.) Group Head Ethics & Intellgence , GMR Group
- "Very Informative and an Eye Opener" A. K. Agrawal, Chief Engineer (Vig.) NHPC Ltd.
- "Meaningful workshop, case studies are very effective."  
NK Bhola, Add. Director, Serious Frauds Investigation, Ministry of Corp Affairs
- "Extremely useful and worthwhile symposium"  
Col N.M Koshiy, JGM(HR &Admin) SDB CISCO(INDIA) Ltd.
- "Good Seminar, in a short time. Case studies will help very much; sharing of experience will help in understanding frauds better"  
Sumit Makhija, Pricewaterhouse Coopers Pvt. Ltd.
- "Seminar in general was excellent. Case studies will be of tremendous help in creating awareness"  
D. Ganguly, Vice President-Works, J.K. Industries
- "The Seminar in general is very good and very effective. Real eye opener"  
N. Jain Chief Manager (Finance & Accounts) Shree Cement Ltd

## ONE POINT DESTINATION FOR CORPORATES

- ✓ Fraud Investigation
- ✓ System Evaluation & ERP Analysis
- ✓ Fraud Risk Management Strategy
- ✓ Digital Evidence Protection
- ✓ Protection of Intellectual Property
- ✓ Forensic Audit
- ✓ Legal Support
- ✓ Anti-Fraud Education
- ✓ Internal Audit Support



## Institute of Forensic Accounting & Investigative Audit

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